

A dendritic cell vaccine increases the breadth and diversity of melanoma neoantigen-specific T cells

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T cell immunity directed against tumor-encoded amino acid substitutions (AAS) occurs in some melanoma patients. This implicates missense mutations (MM) as a source of patient-specific neoantigens. However, a systematic evaluation of these putative neoantigens as validated targets of anti-tumor immunity is lacking. Moreover, whether vaccination can augment such responses is unknown. Here we show that a dendritic cell vaccine increased naturally occurring and revealed new HLA class I-restricted neoantigens in patients with advanced melanoma. The presentation of neoantigens by HLA-A*02:01 in human melanoma was confirmed by mass spectrometry. Vaccination promoted a diverse neoantigen-specific T cell receptor repertoire in terms of both TCRV β usage and clonal composition. Our results demonstrate that vaccination directed at tumor AAS broadens the antigenic breadth and clonal diversity of anti-tumor immunity.

Melanoma genomes harbor somatic mutations that are caused by exposure to mutagens such as UV light (1, 2). Tumor missense mutations (MM), translated into amino acid substitutions (AAS), may provide a form of antigens that the immune system perceives as foreign, which elicits tumor-specific T cell immunity (3–6). To examine the immunogenicity of tumor-encoded AAS, three patients (MEL21, MEL38 and MEL218) with stage III resected cutaneous melanoma were consented for genomic analysis of their surgically excised tumors and subsequently enrolled in a phase 1 clinical trial with autologous, functionally mature, interleukin (IL)-12p70-producing dendritic cell (DC) vaccine (fig. S1) (7). All 3 patients had received prior treatment with ipilimumab (see supplementary materials). Exome sequencing was performed to identify somatic mutations in tumor samples (Fig. 1A). Multiple metachronous tumors were analyzed from patients MEL21 and MEL38 (tables S1 and S2). Tumor MM, translated as AAS-encoding nonamer peptides, were filtered through in silico analysis to assess HLA-A*02:01 peptide binding affinity (8) and expression of genes encoding predicted

HLA-A*02:01 peptide candidates determined by analysis of cDNA capture data (Fig. 1A) (9). Peptide candidates for experimental validation were selected according to the strategy described in fig. S2 and HLA-A*02:01 binding evaluated using the T2 assay (fig. S3) (10) and confirmed in the fluorescence polarization-based competitive peptide binding assay (11). Per patient, 7 AAS peptide candidates were selected among validated HLA-A*02:01 binders (fig. S2 and table S4) for incorporation into a personalized vaccine formulation along with the melanoma gp100-derived peptides G209-2M and G280-9V (as positive controls for vaccination) (7). The expression pattern of mutated genes encoding vaccine candidates is shown in Venn diagrams in Fig. 1A.

To examine the kinetics and magnitude of T cell immunity to AAS peptides upon vaccination, peripheral blood mononuclear cells (PBMC) were collected prior to vaccination and weekly thereafter. The CD8⁺ T cell response to each peptide was analyzed using a HLA-A*02:01/AAS-peptide dextramer assay after a single round of in vitro stimulation (fig. S4A) (7). Immune monitoring demonstrated that in each patient, T cell immunity to one AAS peptide could be detected in pre-vaccine PBMC samples after in vitro stimulation (Fig. 1B, MEL21: TMEM48 F169L; MEL38: SEC24A P469L and MEL218: EXOC8 Q656P) although not directly from the blood (fig. S4B). Pre-existing immunity to these 3 neoantigens was confirmed in ex-vivo expanded pre-vaccine purified CD8⁺ T cells using dextramer assay (fig. S4B) and interferon (IFN)- γ production (12) (fig. S4C).

Vaccination augmented the T cell response to these neoantigens with observed frequencies of 23% TMEM48 F169L⁺ CD8⁺ T cells, 64% SEC24A P469L⁺ CD8⁺ T cells and 89% EXOC8 Q656P⁺ CD8⁺ T cells detected, upon culture, at the peak of response (Fig. 1B). Immune monitoring also revealed vaccine-induced T cell immunity to 2 additional neoantigens per patient: TKT R438W and CDKN2A E153K (55% and 12%, respectively) in patient MEL21; AKAP13 Q285K and OR8B3 T190I (47% and 42%, respectively) in

patient MEL38, and MRPS5 P59L and PABPC1 R520Q (58% and 84%, respectively) in patient MEL218 (Fig. 1B). Two (MEL21 and MEL218) of the 3 patients had pre-existing immunity to G209-2M and G280-9V peptides, as determined by the presence of gp100-specific T cells in pre-vaccine PBMC samples and their ex-vivo expansion upon antigen stimulation (fig. S5B). Upon vaccination, these T cell responses were enhanced in patients MEL21 and MEL218 and revealed in patient MEL38 (fig. S5). No T cell immunity was detected to the remaining 12 AAS peptides. Overall, robust neoantigen T cell immunity was detectable as early as week 2 and peaked at week 8-9 after the initial vaccine dose (fig. S4A). Neoantigen-specific CD8⁺ T cells are readily identified by dextramer assay directly in post-vaccine PBMC samples (fig. S4B) and memory T cells are detected up to 4 months after the final vaccine dose.

Analysis of T cell reactivity among the 3 patients indicated no preferential skewing toward AAS at specific positions in the peptide sequence, that is toward TCR contact residues or primary anchor residues (13). Rather, in each patient, T cell immunity appeared to focus on the 3 AAS candidates exhibiting the highest HLA-A*02:01 binding affinity while the remaining medium-high affinity peptides were non-immunogenic (table S4) (8, 11). Immunogenic AAS peptides (Fig. 1A) were not preferentially derived from genes with high allelic frequency or expression levels (tables S1 to S3).

To characterize the function of vaccine-induced neoantigen-specific T cells, short-term expanded CD8⁺ T cell lines were established and antigen specificity confirmed by dextramer assay (fig. S4B) (7, 12). Neoantigen-specific T cells displayed significant levels of cytotoxic activity at AAS peptide concentrations of 1 to 10nM, a finding that is consistent with high avidity T cell recognition of antigen (Fig. 2A). OR8B3 T190I-specific T cells could not discriminate between AAS and wild-type (WT) peptide when presented on T2 cells, while all of the remaining T cell lines showed clear specificity for AAS peptide sequences (Fig. 2A). Next, we sought to characterize the cytokine production profile of these T cells as a previous report suggests that IL-12p70-producing DC promote type 1 CD8⁺ T immunity, which in turn, correlates with increased clinical benefit (7, 14). Upon antigen stimulation, most vaccine-induced neoantigen-specific T cells produced high amounts of IFN- γ relative to IL-4, IL-5 and IL-13, a pattern that is indicative of a type 1 phenotype (fig. S6). However, SEC24A P469L-specific T cells exhibited a type 2-skewed phenotype (high IL-4, IL-5 and IL-13 levels relative to IFN- γ), and TMEM48 F169L specific T cells showed a mixed phenotype with only higher IL-13 (but not IL-4 or IL-5) levels relative to IFN- γ (fig. S6).

We next transfected DM6, a HLA-A*02:01⁺ melanoma cell line (15), with tandem minigene constructs (TMC) to evaluate neoantigen processing and presentation. Each minigene encoded an AAS, or the corresponding WT AA,

embedded in 19-21 amino acids derived from the normal gene product (fig. S7A and table S5). TMC also encoded the West Nile Virus (WNV) SVG9 (16) and melanoma G280 (17) antigenic determinants as controls (figs. S5 and S7B). Seven (TMEM48 F169L, TKT R438W, CDKN2A E153K, SEC24A P469L, AKAP13 Q285K, EXOC8 Q656P and PABPC1 R520Q) of the 9 immunogenic neoantigens are processed and presented as evidenced by cytotoxic activity (Fig. 2B) and IFN- γ production (fig. S7C) by corresponding neoantigen-specific T cells upon co-culture with DM6 expressing AAS-encoding TMC. In contrast, neither cytotoxic activity (Fig. 2B) nor IFN- γ production (fig. S7C) was observed upon co-culture of OR8B3 T190I- and MRPS5 P59L-specific T cells with DM6 expressing AAS-encoding TMC suggesting that these neoantigens are not processed and presented from endogenously expressed protein. None of the neoantigen-specific T cells recognized WT-encoding TMC (Fig. 2B and fig. S7C). Based on these findings and the immune monitoring results (Fig. 1B), the 9 neoantigens identified in this study fall into 3 distinct antigenic determinant categories (18, 19). TMEM48 F169L, SEC24A P469L, and EXOC8 Q656P represent dominant antigens as T cell immunity was detected prior to vaccination (naturally occurring) (Fig. 1B) and these neoantigens are processed and presented from endogenously expressed protein (Fig. 2B). TKT R438W, CDKN2A E153K, AKAP13 Q285K and PABPC1 R520Q are characterized as subdominant antigens as T cell immunity required peptide vaccination (Fig. 1B) and these neoantigens are processed and presented from endogenously expressed protein (Fig. 2B). And finally, OR8B3 T190I and MRPS5 P59L constitute cryptic antigens since peptide vaccination elicited T cell immunity but these neoantigens are not processed from endogenously expressed protein.

To validate neoantigen processing and presentation, proteomic analysis was performed on peptides eluted from soluble HLA-A*02:01 molecules isolated from melanoma cells expressing a TMC encoding AAS candidates from patient MEL218 tumor (18, 19). Reverse phase HPLC was used to reduce the complexity and determine the elution profile of the pool of soluble HLA-A*02:01 restricted peptides presented by melanoma cells, as well as, the synthetic AAS peptide mixture (Fig. 3, A and E). The fractions corresponding to each synthetic peptide were subjected to LC/MS. Extracted ion chromatograms revealed the presence of an eluted peptide with a retention time within 2 min of synthetic EXOC8 Q656P peptide in fraction 50 (Fig. 3B). MS/MS fragmentation pattern comparison of the eluted and the synthetic peptides ensured EXOC8 Q656P sequence identity and confirmed HLA-A*02:01 presentation of this dominant neoantigen (Fig. 3, C and D). A similar analysis of fraction 44 demonstrated the HLA-A*02:01 presentation of subdominant neoantigen PABPC1 R520Q (Fig. 3, E to H). Altogether, these results show that two of the 7 neoantigens included in patient MEL218

vaccine, along with antigen controls WNV SVG9 and G280 (fig. S8), are processed and presented in the context of HLA-A*02:01 molecules.

Little is known about the composition and diversity of neoantigen-specific T cells (20, 21) and the effect vaccination may have on these repertoires. To address this question, reference T cell receptor- β (TCR β) complementarity-determining region 3 (CDR3) sequence libraries (fig. S9 and tables S6 to S10) were generated from short-term expanded sorted neoantigen-specific T cells (97–99% dextramer-positive, fig. S10) and used to characterize neoantigen TCR β clonotypes in purified CD8 $^{+}$ T cells isolated from pre- and post-vaccine PBMC samples (22–24). In pre-vaccination CD8 $^{+}$ T cell populations, as few as one and as many as 10 unique TCR β clonotypes per neoantigen were identified (Fig. 4A). Vaccination increased the frequency of most existing pre-vaccine TCR β clonotypes and revealed new clonotypes for all 6 neoantigens (Fig. 4A). For both dominant and subdominant neoantigens, the TCR β repertoire was increased significantly after vaccination (Fig. 4). For example, 84 clonotypes representing 19 TCR β families are detected for TKT R438W, 61 clonotypes representing 12 TCR β families are detected for SEC24A P469L and 12 clonotypes representing 8 TCR β families are detected for EXOC8 Q656P (Fig. 4B). Thus, peptide vaccination with functionally mature DC may promote the expansion of a highly diverse neoantigen TCR repertoire.

In summary, vaccination with high affinity patient-specific tumor-derived mutant peptides augments T cell immunity directed at naturally occurring (dominant) neoantigens and expanded the breadth of the anti-tumor immune response by revealing subdominant neoantigens (25). Vaccination against tumor neoantigens appears safe as all 3 patients are alive and well with no autoimmune adverse events. Inclusion of subdominant neoantigens into any therapeutic strategy would be expected to exert pressure to reduce the selection of antigen loss variants, especially in the setting of clonal tumor evolution (26) which occurs with targeted agents such as BRAF inhibition in melanoma (27, 28). The revelation of a highly diverse TCR β repertoire specific for dominant and subdominant neoantigens was surprising and points to a potentially rich pool of naïve tumor-specific T cells that remain ignorant unless activated by vaccination. The effect of prior ipilimumab exposure on the pre-vaccine T cell repertoire in the 3 patients reported is unknown. However, recent data (29) indicates that anti-CTLA-4 monoclonal antibody administration can influence TCR repertoire diversity in patients and suggests a new therapeutic strategy testing checkpoint inhibitors, including ipilimumab, together with neoantigen vaccine formulations in order to further improve clinical outcomes. The paradigm described in this report could be applied to other malignancies presenting high mutational burdens such as lung, bladder and colorectal cancers (1). Other categories of genomic alterations such as deletions, nonsense and frame

shift mutations may also generate potential neoantigens; mining these neoantigens may be particularly relevant in low mutational burden malignancies such as leukemias (30). Personalized immunotherapies targeting private somatic tumor alterations may become feasible in the near future.

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ACKNOWLEDGMENTS

We thank T. Hansen, D. Link, and J. DiPersio for critical review; T. Ley, M. Walter and J. Welch for advice and helpful discussions; R. Austin, R. Demeter, W. Eades, S.

McGrath, W. Swaney, T. Vickery, J. Walker, and T. Wylie for technical assistance; L. Cornelius, R. Fields, R. Aft, J. Moley, A. Schaffer, and J. Steel for clinical collaboration. We gratefully acknowledge A. Alyasiry, S. Kalathiveetil, I. King, M. Schellhardt, and C. Rush for clinical research support and B. Fritz from Adaptive Biotechnologies for technical guidance. This work was supported by Barnes-Jewish Hospital Foundation, Siteman Cancer Frontier Fund, Our Mark on Melanoma (MOM) Foundation, Come Out Swinging (COS) Foundation, Blackout Melanoma Foundation and NCI (P30 CA91842 and R21 CA179695, B. Carreno, PI). We acknowledge The Genome Institute at Washington University School of Medicine for the sequencing production pipeline that generated data, established by NIH/NHGRI 5U54HG00307 (R. Wilson, PI). The Siteman Cancer Center provided the use of the Flow Cytometry Core, Clinical Trials Core, Tissue Procurement Core and the Biologic Therapy Core. The data presented in this manuscript is tabulated in the main paper and the supplementary materials. The raw exome and transcriptome sequence data are available on the Sequence Read Archive database: Bioproject PRJNA278450. The raw proteomic data are available at MassIVE database ID MSV000079081. Washington University and the authors (B.M.C., V.M., E.R.M. and G.P.L.) have filed a patent application (US62/050,195) related to the use of tumor somatic mutations for cancer immunotherapy entitled "Cancer Immunotherapy, Methods, Compositions and Uses Thereof. We thank the patients and families for their dedicated participation in this study.

SUPPLEMENTARY MATERIALS

www.sciencemag.org/cgi/content/full/science.aaa3828/DC1

Materials and Methods

Supplementary Text

Figs. S1 to S10

Tables S1 to S10

References (31–36)

26 November 2014; accepted 19 March 2015

Published online 2 April 2015

10.1126/science.aaa3828

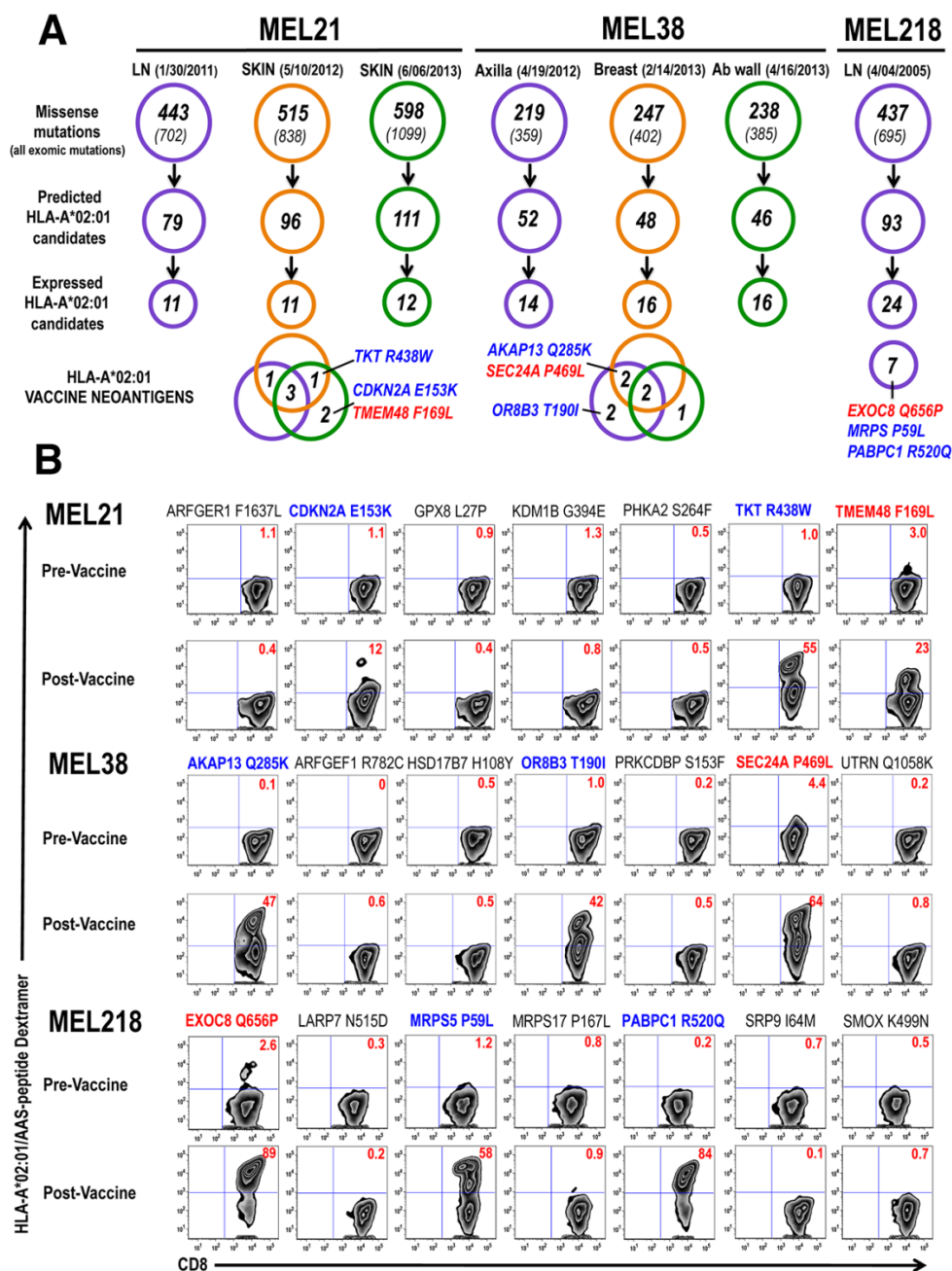


Fig. 1. Vaccine candidate identification and immune monitoring. (A) Distribution of somatic (exomic and missense) mutations identified in patients MEL21 and MEL38 metachronous tumors (anatomical location and date of collection indicated) and patient MEL218 tumor are shown. HLA-A*02:01-binding candidate peptides were in silico identified among AAS and expression of gene encoding mutated protein determined from cDNA capture data (tables S1 to S3). Venn diagrams show expression, among metachronous tumors, of mutated genes encoding vaccine neoantigens. The identities of the 3 immunogenic neoantigens identified in each patient are depicted in diagrams; color coding identifies naturally occurring (red) and vaccine-induced (blue) neoantigens. (B) Immune-monitoring of neoantigen-specific CD8⁺ T cell responses. Results are derived from PBMC isolated before DC vaccination (Pre-vaccine) and at peak (Post-Vaccine). PBMCs were cultured in vitro in the presence of peptide and IL-2 for 10 days followed by HLA-A*02:01/AAS-peptide dextramer assay. This immune monitoring strategy allows the reliable detection, as well as, the assessment of replicative potential of vaccine-induced T cell responses (fig. S4A). Color coding according to (A), numbers within dot plots represent percent neoantigen-specific T cells in lymph⁺/CD8⁺ gated cells.

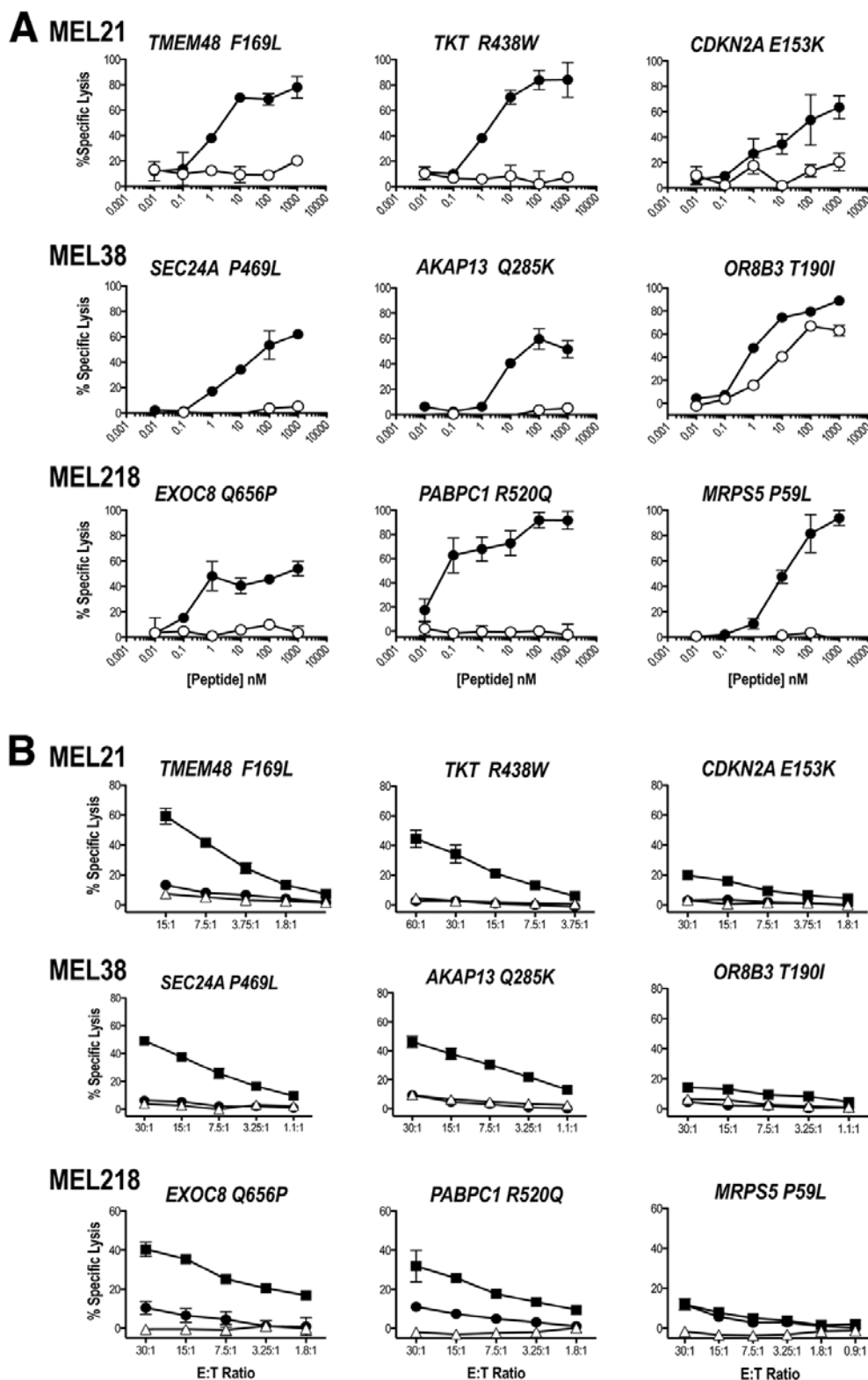


Fig. 2. Antigenic determinants recognized by vaccine-induced T cells. (A) Neoantigen-specific T cells recognition of AAS (closed circles) and WT (open circles) peptides was determined in a standard 4h ⁵¹Cr-release assay using peptide titrations on T2 (HLA-A*02:01) cells. Percent specific lysis of triplicates (mean + standard deviation) is shown for each peptide concentration; spontaneous lysis was <5%. Results are shown at 10:1 E:T ratios for all T cell lines except TMEM48 F169L and CDKN2A E153K T cells which are shown at 60:1 E:T ratio. A representative experiment of two independent evaluations is shown. (B) Neoantigen processing and presentation. Neoantigen-specific T cells were co-cultured with DM6 expressing AAS- (closed rectangles) or WT- (closed circles) TMC in a 4h ⁵¹Cr-release assay. Open triangles represent lysis obtained with parental DM6 cells. Percent specific lysis of triplicates (mean + standard deviation) is shown for each E:T ratio; spontaneous lysis was <5%. A representative experiment of two independent evaluations is shown.

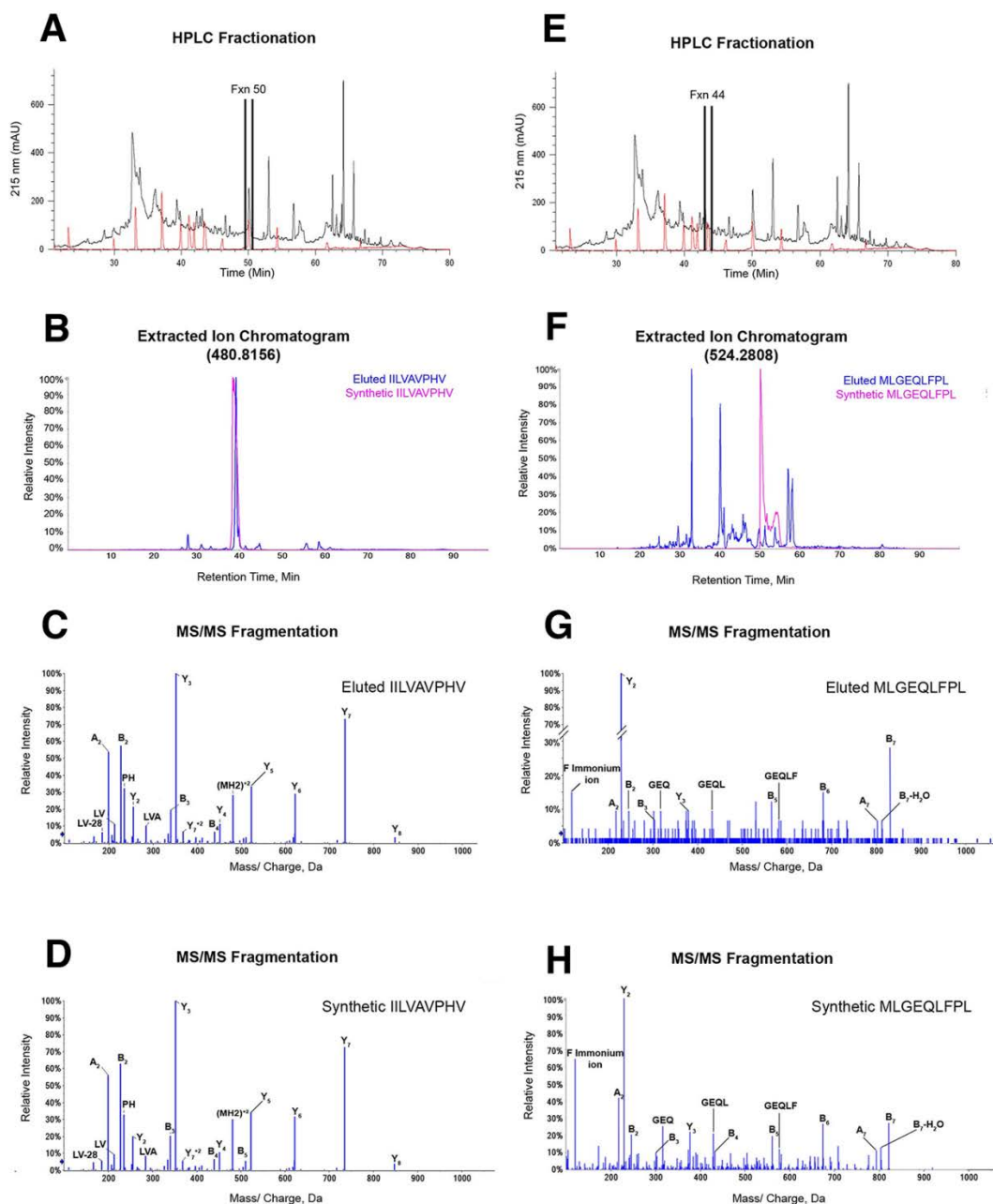


Fig. 3. Processing and presentation of tumor neoantigens. (A) RP-HPLC fractionation of HLA-A*02:01 peptides eluted from the AAS-TMC expressing melanoma cell line (black trace) and the synthetic peptide mixture containing MEL218 neoantigen candidates (red trace), with fraction 50 indicated. (B) Extracted ion chromatogram of the parent ion with the theoretical m/z of 480.8156 (+2) in HPLC fraction 50 from the HLA-A*02:01 eluted peptides (blue) overlaid with the EXOC8 Q656P synthetic peptide (pink). MS/MS fragmentation pattern of (C) the EXOC8 Q656P ion eluted from HLA-A*02:01 identified as IILVAVPHV, and (D) the corresponding synthetic peptide. (E) Same as in (A), with fraction 44 indicated. (F) Extracted ion chromatogram of the parent ion with the theoretical m/z 524.2808 (+2) in HPLC fraction 44 from the HLA-A*02:01 eluted peptides (blue) overlaid with the PABPC1 R520Q synthetic peptide (pink). MS/MS fragmentation pattern of (G) the PABPC1 R520Q ion eluted from HLA-A*02:01 identified as MLGEQLFPL and (H) the corresponding synthetic peptide.

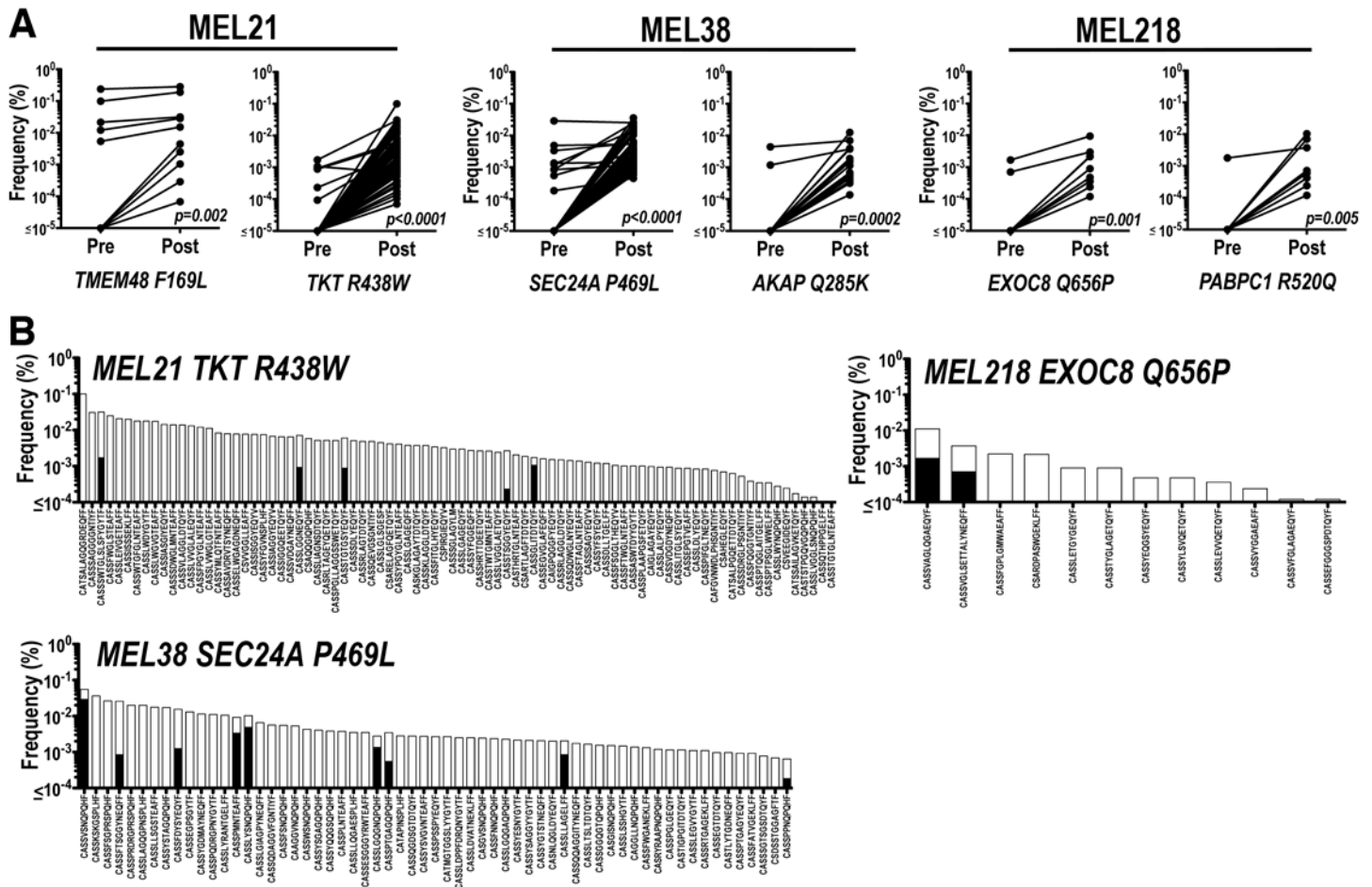


Fig. 4. Vaccination promotes a diverse neoantigen-specific T cell repertoire. (A) Summary of TCR β clonotypes identified, using neoantigen-specific TCR β CDR3 reference libraries (see tables S6 to S10), in CD8 $^{+}$ T cell populations isolated from PBMC obtained before and after vaccination. Each symbol represents a unique TCR β sequence and its frequency (%) in pre- and post-vaccine samples. Wilcoxon-signed rank test was performed and p values indicated in figure. (B) TCR β CDR3 sequence of clonotypes (tables S6 to S10) identified in pre- (black bars) and post- (white bars) vaccine CD8 $^{+}$ T cell populations for neoantigens TKT R438W (pre = 5, post = 84 clonotypes); SEC24A P469L (pre = 9, post = 61) and EXOC8 Q656P (pre = 2, post = 12). Frequency of each unique clonotype is reported as percentage of total read counts.